



NEW DONOR ADVISED FUND

Information Form

◆ SAINT PAUL & MINNESOTA FOUNDATION



MINIMUM SIZE TO ESTABLISH A DONOR ADVISED FUND IS \$10,000.

ABOUT YOU

1. PRIMARY DONOR(S) / ADVISORS

This individual has full advisory privileges over the Fund, including grant recommendations, investment recommendations and naming Successor Advisors.

1A. PRIMARY DONOR

☐ MR ☐ MRS ☐ MS ☐ MX ☐ DR ☐ OTHER _____

FIRST NAME

MIDDLE INITIAL

LAST NAME

HOME ADDRESS

CITY, STATE

ZIP

BUSINESS NAME

ADDRESS

PRIMARY PHONE

BUSINESS PHONE

EMAIL

GENDER

☐ FEMALE ☐ MALE ☐ NONBINARY ☐ UNDISCLOSED/PREFER NOT TO ANSWER

GENERATION

- ☐ TRADITIONALIST (BORN 1927-1945)
☐ BABY BOOMER GENERATION (BORN 1946-1964)
☐ GENERATION X (BORN 1965-1979)
☐ MILLENNIALS (BORN 1980-1994)
☐ GENERATION Z (BORN 1995-2012)

RACE/ETHNICITY

- ☐ ASIAN-AMERICAN/PACIFIC ISLANDER ☐ NATIVE AMERICAN
☐ BLACK OR AFRICAN AMERICAN ☐ WHITE
☐ LATINX ☐ IDENTITY NOT LISTED: _____
☐ MIDDLE EASTERN/NORTH AFRICAN

QUESTIONS? EMAIL PHILANTHROPY@SPMCF.ORG OR CALL 651.224.5463

ABOUT YOU

1B. ADDITIONAL DONOR

☐ MR ☐ MRS ☐ MS ☐ MX ☐ DR ☐ OTHER _____

FIRST NAME

MIDDLE INITIAL

LAST NAME

HOME ADDRESS

CITY, STATE

ZIP

BUSINESS NAME

ADDRESS

PRIMARY PHONE

BUSINESS PHONE

EMAIL

GENDER

☐ FEMALE ☐ MALE ☐ NONBINARY ☐ UNDISCLOSED/PREFER NOT TO ANSWER

GENERATION

- ☐ TRADITIONALIST (BORN 1927-1945)
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2. SUCCESSOR ADVISORS

Primary Donor(s) may designate one or more individuals as “Successor Advisors” (additional advisors). A Successor Advisor will have the authority to recommend grants and investments upon the death of the Fund’s last surviving Primary Donor(s).

The Fund may either continue as non-permanent (fully spendable) or permanent (endowed) for the Successor Advisors. A spendable fund may make grant distributions from both principal and income. An endowed fund is permanent and may make grant distributions per the Foundation’s spending policy.

With a non-permanent fund, the Primary Donor(s) may change the selection of Successor Advisors at any time before the Primary Donor(s) death or incapacity.

If a Successor Advisor is under the age of 18, please check this box: ☐

If you do not wish to name Successor Advisors, please leave this section blank.

#1

FIRST NAME

MIDDLE INITIAL

LAST NAME

EMAIL

#2

FIRST NAME

MIDDLE INITIAL

LAST NAME

EMAIL

#3

FIRST NAME

MIDDLE INITIAL

LAST NAME

EMAIL

#4

FIRST NAME

MIDDLE INITIAL

LAST NAME

EMAIL

(If you are naming more than four Successor Advisors, please provide their information on a separate sheet)

QUESTIONS? EMAIL PHILANTHROPY@SPMCF.ORG OR CALL 651.224.5463

YOUR FUND INFORMATION

3. FUND NAME

(no longer than 60 characters; example: The Smith & Wong Family Fund)

4. ANONYMITY

You may choose to make grants anonymous on a case by case basis through the grant recommendation process or if you prefer complete anonymity for your fund, please indicate below:

- ☐ Anonymous Fund and Anonymous Advisor = No Advisor Name Or Fund Name
- ☐ Anonymous Advisor = No Advisor Name, But Fund Name
- ☐ Anonymous Fund = No Fund Name, But Advisor Name

5. DISTRIBUTIONS

5A. YOUR DONOR ADVISED FUND CAN BE ADMINISTERED AS FOLLOWS: (choose only one)

- ☐ Non-Permanent whereby the entire balance of the fund may be used for grantmaking; OR
- ☐ Transitional whereby these funds are set up to be non-permanent during the lifetime of the Primary Donor(s). Upon the death of the Primary Donor(s), the Fund can become a permanent fund (if assets are \$25K or more) subject to the Foundation's Spending Policy for the Successor Advisor(s) lifetime.
- ☐ Permanent whereby the amount available for grantmaking from your fund is based on the Spending Policy currently in place for the Foundation. Grants can be recommended by the current advisors up to the amount available to grant.

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5B. UPON THE DEATH OF THE PRIMARY DONOR(S) AND SUCCESSOR ADVISOR(S), (if applicable) IF THE REMAINING FUND'S BALANCE IS \$25,000 OR MORE, THE FUND WILL CONTINUE AS A PERMANENT (ENDOWED) NAMED FUND TO BE ADMINISTERED AS FOLLOWS:

(If selecting more than one distribution type, the percentages must total 100% and at least \$25,000 per type must be available at the time of distribution.)

Distributions from the Fund:

☐ **Unrestricted** _____%

Unrestricted funds offer the broadest giving options, allowing the Foundation to make grants where there is the greatest need.

☐ **Field of Interest** _____%

Field of Interest funds support broad areas of charitable interest selected by the Donor. For example, areas of interest can include adult literacy, children's needs, combating racism, healthy families, or environment. The selection of specific grant recipients is at the direction of the Foundation.

Please indicate your interests:

- | | |
|---|---|
| <input type="checkbox"/> Affordable Housing, Shelter & Homelessness | <input type="checkbox"/> Food, Agriculture & Nutrition |
| <input type="checkbox"/> Aging Services | <input type="checkbox"/> Health |
| <input type="checkbox"/> Animal Welfare | <input type="checkbox"/> Human Services |
| <input type="checkbox"/> Arts, Culture & Humanities | <input type="checkbox"/> Legal Services |
| <input type="checkbox"/> Civic Engagement & Advocacy | <input type="checkbox"/> LGBTQIA+ Causes |
| <input type="checkbox"/> Civil Rights & Social Justice | <input type="checkbox"/> Neglect and Abuse Support and Prevention |
| <input type="checkbox"/> Community Development | <input type="checkbox"/> Parent & Family Support |
| <input type="checkbox"/> Disabilities | <input type="checkbox"/> Racial Justice |
| <input type="checkbox"/> Education | <input type="checkbox"/> Workforce Development & Training |
| <input type="checkbox"/> Environment | <input type="checkbox"/> Youth Development |

☐ **Designated** _____%

Designated funds are established to annually support one or more named nonprofit organizations (organizations must be classified as a 501(c)3).

_____ % to _____

_____ % to _____

_____ % to _____

_____ % to _____

Upon the death of the Primary Donor(s) and Successor Advisor(s), if the remaining Fund's balance is less than \$25,000, the Fund will terminate and all remaining assets will become part of the Foundation's unrestricted funds.

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6. GIFT INFORMATION

MINIMUM SIZE TO ESTABLISH A DONOR ADVISED FUND IS \$10,000.

Approximate gift amount \$ _____

When you are ready to make a gift, please notify us to ensure that your gift is properly delivered.

We accept:

- Cash/Check/Wire/ACH
- Appreciated Securities
- Other gift types; example: real estate; cryptocurrency; S/C Corp., etc.

7. INVESTMENTS

7A. THE PRIMARY DONOR(S) MAY ELECT AN INVESTMENT ALLOCATION FOR THE NON-PERMANENT FUND. THE FOUNDATION CURRENTLY OFFERS SIX DIFFERENT INVESTMENT POOLS:

- Money Market Portfolio
- Fixed Income Portfolio
- Social Impact Fixed Income Portfolio
- Multi-Asset Endowment Portfolio
- Diversified Equity Portfolio
- Socially Responsible Equity Portfolio

We will send you information on the various investment pools along with a form for your completion when your Fund is established.

- **Permanent Funds** are only invested in our Multi-Asset Endowment Portfolio.

7B. BANK PARTNERS

We have partnered with US Bank and Wells Fargo Bank to offer donor advised funds to their existing clients. These fund options are managed by each bank in partnership with the Foundation.

If you are currently working with one of these two financial investment partners and wish to have your fund established through one of these financial partnerships, please let us know their name so that we may contact them to confirm this and establish this fund accordingly:

- ☐ US Bank _____
NAME OF ADVISOR
- ☐ Wells Fargo _____
NAME OF ADVISOR

QUESTIONS? EMAIL PHILANTHROPY@SPMCF.ORG OR CALL 651.224.5463

7C. INDIVIDUALLY MANAGED FUND

Our Foundation is pleased to provide donors with a customized investment approach. Our Individually Managed Funds allow you to maintain a relationship with your preferred Investment Advisor. We will work with you and your advisor to build a custom investment portfolio for your donor advised fund. The minimum contribution to establish an Individually Managed Fund is \$250,000.

- ☐ I would like to establish an Individually Managed Fund with the Foundation.

Please contact us with questions on any of the above investment options.

8. ESTATE PLANNING

The Saint Paul & Minnesota Foundation has helped generations of donors create a lasting effect in the communities they love through charitable giving. If you have already included your fund in your estate plans, please complete the following section. We appreciate the trust you are placing in us to steward your charitable legacy.

As an expression of my/our commitment to the community, I/we are pleased to declare my/our intention to make a gift to our fund at the Saint Paul & Minnesota Foundation through a:

- ☐ Will
- ☐ Living trust
- ☐ Retirement plan
- ☐ Life insurance policy
- ☐ Charitable remainder trust
- ☐ Other: _____

If possible, please attach a copy of the page(s) from your will or other document that references your intended gift.

Today, the estimated amount of this gift is \$ _____. (Estimate of gift amount will be used internally only for long-term planning purposes.)

Although this letter of intent is an expression of my/our current plans, I/we understand that those plans may change and that this declaration is not legally binding.

If you would like to learn about how to include your donor advised fund in your estate plan, please contact us.

QUESTIONS? EMAIL PHILANTHROPY@SPMCF.ORG OR CALL 651.224.5463

9. REFERRAL INFORMATION

We greatly appreciate all who make referrals to the Saint Paul & Minnesota Foundation. If you were referred to us, please share their name(s) as applicable below:

- ☐ Professional Advisor _____ (name)
- ☐ Current donor advised fundholder _____ (name)
- ☐ Family/Friend _____ (name)
- ☐ Board Member _____ (name)
- ☐ Other source _____

How did you hear about us?

- ☐ Reputation _____ (source)
- ☐ News or other Media _____ (source)
- ☐ Advertisement _____ (source)
- ☐ Website/Online _____ (source)
- ☐ Social Media _____ (source)
- ☐ Other source _____

10. DISCLAIMER

- ☐ I/We acknowledge that this form is not a legally binding contract. The Saint Paul & Minnesota Foundation will use the information provided in this form, to draft a fund agreement between me/us and the Foundation. Once the agreement is finalized, all parties will then execute that fund agreement as a legally binding contract.
- ☐ I/We understand that the transfer of the property described above is an irrevocable gift to the Saint Paul & Minnesota Foundation and is not refundable to me/us, and such gift is intended to create a fund under Section 4966(d)(2) of the Internal Revenue Code, and that nothing in this application shall affect the status of the Foundation as a component fund of the Foundation.

RETURN THIS FORM TO: **PHILANTHROPY@SPMCF.ORG** OR CALL 651.224.5463

Please don't hesitate to reach out to us if you have any questions.

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